SEC Form 4

П

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this bo	ox if no longer subject to
Section 16. F	Form 4 or Form 5
obligations m	ay continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response.	05								

1. Name and Address of Reporting Person* <u>EAVES JOHN W</u>			2. Issuer Name and Ticker or Trading Symbol <u>ARCH COAL INC</u> [ARCH]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner
(Last) ONE CITYPL	ast) (First) (Middle) NE CITYPLACE DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 10/25/2018	X Officer (give title Other (specify below) CEO
(Street) ST. LOUIS (City)	MO (State)	63141 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Disposed Of 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	10/25/2018		Α		19,350		(2)	(2)	Class A Common Stock	19,350	\$0.00	19,350	D	
Restricted Stock Units	(1)	10/25/2018		A		19,350		(3)	10/25/2021	Class A Common Stock	19,350	\$0.00	19,350	D	
Restricted Stock Units	(1)	10/25/2018		A		16,250		(4)	(4)	Class A Common	16,250	\$0.00	16,250	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of Class A Common Stock of Arch Coal, Inc.

2. The restricted stock units vest on the third anniversary of the grant date.

3. The restricted stock units vest on October 25, 2021, based on the volume weighted average price of a share over any period of 45 consecutive days ("45 Day VWAP") during the three-year performance period.

4. The restricted stock units vest as follows: 20% on the first anniversary of the date of grant; 30% on the second anniversary of the date of grant; and 50% on the third anniversary of the date of grant.

Remarks:

<u>/s/ Rosemary L. Klein,</u> <u>Attorney-in-Fact</u>

** Signature of Reporting Person

10/29/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.